3622

Champagne, Donald

Group Art Unit:

Examiner:

3622

Attny Dkt No.: 11032-3040

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MERRIMAN, Dwight A. et al Applicants:

Appl'n No.: 10/082,069

Filing Date: 26 February 2002

For:

NETWORK FOR DISTRIBUTION OF

**RE-TARGETED ADVERTISING** 

Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & § 1.98

Sir:

Under the provisions of 37 C.F.R. § 1.97(b)(3), and in accordance with Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants would like to call to the Office's attention the references listed on the attached substitute form PTO-1449 (18 sheets). This filing is intended to replace and supplement the IDS filing of February 26, 2002 in the present application.

Applicants also direct the Office's attention to the following related pending applications, among which the listed references have been cited:

- U.S. Reissue Application No. 09/577,798 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed May 24, 2000, reissue of U.S. Patent No. 5,948,061
- U.S. Application No. 11/250,575 entitled Method and Apparatus for Automatic Placement of Advertising, filed October 17, 2005
- U.S. Application No. 10/254,923 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 26, 2002
- U.S. Application No. 10/798,342 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004
- U.S. Application No. 10/798,340 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004

PATENT

Appl'n No.: 10/082,069

Attny Dkt No.: 11032-3040

• U.S. Application No. 10/798,444 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004

• U.S. Application No. 10/937,341 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 10, 2004

It is respectfully requested that the listed references be expressly considered during the

prosecution of this application, that the Examiner initial each listed reference to evidence its

consideration, and that the references be made of record therein and appear among the

"References Cited" section on any patent to issue therefrom.

Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), Applicants have not provided copies of the listed

U.S. patents and U.S. patent application publications but will do so if required by the Office.

The filing of this Information Disclosure Statement and the enclosed substitute form

PTO-1449 shall not be construed as an admission that the information cited is prior art, or is

considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).

As this statement is being filed before the mailing of a first Office action on the merits

pursuant to 37 C.F.R. § 1.97(b), no fee is believed due. Nonetheless, the Office is hereby

authorized to charge any fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any

overpayments to Kenyon & Kenyon LLP's Deposit Account No. 11-0600.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter

regarding this application.

Respectfully submitted,

Dated: August 14, 2006

(Reg. No. 44,236)

KENYON & KENYON LLP

1500 K Street, N.W., Suite 700

Washington, D.C. 20005

(202) 220 - 4200 (telephone)

(202) 220 - 4201 (facsimile)

Please type a plus sign (+) inside this box->

AUG 1 4 2006

Modified PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no personal part and trademark Office: U.S. DEPARTIMENT OF Comments of Under the Paperwork Reduction Act of 1995, no personal part and respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 18

Complete if Known			
Application Number	10/082,069		
Filing Date	26 February 2002		
First Named Inventor MERRIMAN, Dwight A.			
Group Art Unit	roup Art Unit 3622		
Examiner Name Champagne, Donald			
Attorney Docket Number 11032-3040		Ī	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	4,602,279	FREEMAN	07-22-1986	
		4,703,423	BADO et al.	10-27-1987	
<del></del>	<del> </del>	4,775,935	YOURICK	10-04-1988	
	<del> </del>	4,789,235	BORAH et al.	12-06-1988	
	<del> </del>	4,850,007	MARINO et al.	07-18-1989	
	<del>                                     </del>	4,870,579	HEY	09-26-1989	
	<b></b>	4,996,642	HEY	02-26-1991	
		5,027,400	BAJI et al.	06-25-1991	
	<b> </b>	5,099,422	FORESMAN et al.	03-24-1992	
<u> </u>	<b></b>	5,105,184	PIRANI et al.	04-14-1992	
	<u> </u>	5,155,591	WACHOB	10-13-1992	
	<del>                                     </del>	5,166,866	KIM et al.	11-24-1992	
		5,191,410	MCCALLEY et al.	03-02-1993	
		5,220,501	LAWLOR et al.	06-15-1993	
	<u> </u>	5,253,341	ROZMANITH et al.	10-12-1993	
	†	5,260,778	KAUFFMAN et al.	11-09-1993	
		5,261,094	EVERSON et al.	11-09-1993	
	<del> </del>	5,283,731	LALONDE et al.	02-01-1994	
		5,305,195	MURPHY	04-19-1994	
	<u> </u>	5,347,632	FILEPP et al.	09-13-1994	
	1	5,355,327	STENT et al.	10-11-1994	
	<del> </del>	5,361,393	ROSSILLO	11-01-1994	
	† —	5,377,354	SCANNELL et al.	12-27-1994	
	<del>                                     </del>	5,412,416	NEMIROFSKY	05-02-1995	
	1	5,442,771	FILEPP et al.	08-15-1995	
	<del> </del>	5,446,891	KAPLAN et al.	08-29-1995	
	1	5,446,919	WILKINS	08-29-1995	
	1	5,448,625	LEDERMAN	09-05-1995	
	1	5,459,656	FIELDS et al.	10-17-1995	
	1	5,469,206	STRUBBE et al.	11-21-1995	
-		5,475,819	MILLER et al	12-12-1995	
	1	5,477,451	BROWN et al.	12-19-1995	
	1	5,499,046	SCHILLER et al.	03-12-1996	
	1	5,504,744	ADAMS et al.	04-02-1996	
	<del>                                     </del>	5.504.894	FERGUSON et al.	04-02-1996	

Examiner	 Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

18

	Complete if Known	
Application Number	10/082,069	
Filing Date	26 February 2002	
First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3622	
Examiner Name	Champagne, Donald	
Attorney Docket Number	11032-3040	

	5,515,098	CARLES	05-07-1996	
	5,515,270	WEINBLATT	05-07-1996	
	5,537,542	EILERT et al.	07-16-1996	
	5,550,746	JACOBS	08-27-1996	
	5,559,548	DAVIS et al.	09-24-1996	
	5,566,353	CHO et al.	10-15-1996	
	5,568,612	BARRETT et al.	10-22-1996	
	5,572,643	JUDSON	11-05-1996	
	5,584,025	KEITHLEY et al.	12-10-1996	
	5,594,910	FILEPP et al.	01-14-1997	
	5,598,536	SLAUGHTER, III et al.	01-28-1997	
	5,600,364	HENDRICKS et al.	02-04-1997	
	5,621,812	DEATON et al.	04-15-1997	
	5,636,346	SAXE	06-03-1997	
	5,638,457	DEATON et al	06-10-1997	
	5,649,114	DEATON et al.	07-15-1997	
	5,675,662	DEATON et al.	10-07-1997	
	5,692,132	HOGAN	11-25-1997	
	5,696,965	DEDRICK	12-09-1997	
	5,704,017	HECKERMAN et al.	12-30-1997	
	5,704,018	HECKERMAN et al.	12-30-1997	
	5,708,780	LEVERGOOD et al.	01-13-1998	
	5,710,887	CHELLIAH et al.	01-20-1998	
	5,712,979	GRABER et al.	01-27-1998	
	5,717,860	GRABER et al.	02-10-1998	
	5,717,923	DEDRICK	02-10-1998	
	5,721,827	LOGAN et al.	02-24-1998	
	5,724,424	GIFFORD	03-03-1998	
	5,724,521	DEDRICK	03-03-1998	
	5,727,156	HERR-HOYMAN et al.	03-10-1998	
	5,734,720	SALGANICOFF	03-31-1998	
	5,734,828	PENDSE et al.	03-31-1998	
	5,737,619	JUDSON	04-07-1998	
	5,740,252	MINOR et al.	04-14-1998	
	5,740,549	REILLY et al.	04-14-1998	
	5,745,681	LEVINE et al.	04-28-1998	
	5,751,956	KIRSCH	05-12-1998	
	5,752,022	CHIU et al.	05-12-1998	
	5,754,772	LEAF	05-19-1998	

Signature	Examiner Signature	Date Considered
-----------	-----------------------	--------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

Approved for use through 10/31/2002. OMB 0651-0031°

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Application Number 10/082,069 Filing Date 26 February 2002 MERRIMAN, Dwight A First Named Inventor **Group Art Unit** 3622 Champagne, Donald **Examiner Name** 

(use as many sheets as necessary)

18 Sheet of

Attorney Docket Number	11032-3040

5,754,938	HERZ et al.	05-19-1998	
5,754,939	HERZ et al.	05-19-1998	
5,757,917	ROSE et al.	05-26-1998	
5,761,601	NEMIROFSKY et al.	06-02-1998	
5,768,521	DEDRICK	06-16-1998	
5,774,170	HITE et al.	06-30-1998	
5,778,367	WESINGER, JR. et al.	07-07-1998	
5,781,894	PETRECCA et al.	07-14-1998	
5,787,253	MCCREERY et al.	07-28-1998	
5,790,426	ROBINSON	08-04-1998	
5,790,935	PAYTON	08-04-1998	
5,794,210	GOLDHABER et al.	08-11-1998	
5,796,945	TARABELLA	08-18-1998	
5,796,952	DAVIS et al.	08-18-1998	
5,809,242	SHAW et al.	09-15-1998	
5,812,769	GRABER et al.	09-22-1998	
5,812,776	GIFFORD	09-22-1998	
5,815,148	TANAKA	09-29-1998	
 5,819,285	DAMICO et al.	10-06-1998	
5,838,790	MCAULIFFE et al.	11-17-1998	
5,842,199	MILLER et al.	11-24-1998	
5,848,396	GERACE	12-08-1998	
5,848,397	MARSH et al.	12-08-1998	
5,855,008	GOLDHABER et al.	12-29-1998	
5,870,724	LAWLOR et al.	02-09-1999	
5,873,068	BEAUMONT et al.	02-16-1999	
5,893,075	PLAINFIELD et al.	04-06-1999	
5,913,040	RAKAVY et al.	06-15-1999	
5,918,014	ROBINSON	06-29-1999	
5,933,811	ANGLES et al.	08-03-1999	
5,933,827	COLE et al.	08-03-1999	
5,937,392	ALBERTS	08-10-1999	
5,946,646	SCHENA et al.	08-31-1999	
5,948,061	MERRIMAN et al.	09-07-1999	
5,950,173	PERKOWSKI	09-07-1999	
5,956,024	STRICKLAND et al.	09-21-1999	
5.960,409	WEXLER	09-28-1999	
5,960,429	PEERCY et al.	09-28-1999	
5,990,927	HENDRICKS et al.	11-23-1999	

Examiner	Date	
Signature	Considered	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of

18

Complete if Known		
Application Number	10/082,069	
Filing Date	26 February 2002	
First Named Inventor	MERRIMAN, Dwight A.	
Group Art Unit	3622	
Examiner Name	Champagne, Donald	
Attorney Docket Number	11032-3040	

5,999,912	WODARZ et al.	12-07-1999	
6,002,393	HITE et al.	12-14-1999	
6,005,566	JONES et al.	12-21-1999	
6,005,567	NIELSEN	12-21-1999	
6,006,197	D'EON et al.	12-21-1999	
6,006,252	WOLFE	12-21-1999	
6,016,509	DEDRICK	01-18-2000	
6,020,887	LORING et al.	02-01-2000	
6,026,368	BROWN et al.	02-15-2000	
6,029,195	HERZ	02-22-2000	
6,049,777	SHEENA et al.	04-11-2000	
6,049,878	CARONNI et al.	04-11-2000	
6.052,554	HENDRICKS et al.	04-18-2000	
6,061,659	MURRAY	05-09-2000	
6,119,101	PECKOVER	09-12-2000	
6,182,050	BALLARD	01-30-2001	
6,185,586	JUDSON	02-06-2001	
6,223,215	HUNT et al.	04-24-2001	
6,247,047	WOLFF	06-12-2001	
6,434,614	BLUMENAU	08-13-2002	
6,466,970	LEE et al.	10-15-2002	
6,615,251	KLUG et al.	09-02-2003	
6,718,551	SWIX et al.	04-06-2004	
6,757,662	GREENWALD et al.	06-29-2004	
6,757,710	REED	06-29-2004	
7,039,599	MERRIMAN et al.	05-02-2006	
2002/0013785	MIYAZAKI et al.	01-31-2002	
2002/0019831	WADE	02-14-2002	
2002/0046118	MINTE	04-18-2002	
2002/0082923	MERRIMAN et al.	06-27-2002	
2002/0099600	MERRIMAN et al.	07-25-2002	
2002/0103698	CANTRELL	08-01-2002	
2003/0023489	MCGUIRE et al.	01-30-2003	

Examiner	Date	
Signature	Considered	1
( J.g a. a		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PTO	)			Complete if Known
				Application Number	10/082,069
INF	ORMATION	N DISC	CLOSURE	Filing Date	26 February 2002
STA	TEMENT B	BY AF	PLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
(use as many sheets as necessary)				Examiner Name	Champagne, Donald
Sheet	5	of	18	Attorney Docket Number	11032-3040

	г	I Far	eign Patent Docur		N PATENT DOCUM	i	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup> K	ment ind Code <sup>5</sup> if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		DE	19528911	A1	GOLDSCHEIDER	02-12-1997		
		EP	0 216 535	A2,A3	MATERNA et al.	04-01-1987		
		EP	0 355 697	A2,A3, B1	BAJI et al.	02-28-1990		
		EP	0 643 359	A2,A3	ATCHESON et al.	03-15-1995		
		EP	0 749 081	A1	REILLY et al.	12-18-1996		
		EP	0 749 081	B1	REILLY et al.	11-04-1998		
		EP	0 814 419	A2	YADA	12-29-1997		
		EP	0 818 742	A1	RENSHAW et al.	01-14-1998		
		EP	0 834 822	A2,A3	NEHAB et al.	04-08-1998		
		EP	0 837 407	A1	DESIMONE et al.	04-22-1998		
		EP	0 838 769	A2	CHOUNG et al.	04-29-1998		
		EP	0 849 689	A2,A3	NISHIO et al.	06-24-1998		
		EP	0 864 990	A2,A3	OKA et al.	09-16-1998		
		EP	0 869 448	A1	HYDE et al.	10-07-1998		
		EP	0 875 843	A1	GILMORE et al.	11-11-1997		
		EP	0 877 314	A1	FUJITA et al.	11-11-1998		
		(WO)	0 897 158 (97/41519)	A1,A4	LAKAEY et al.	02-17-1999		
-		JP	H5143653 (w/eng trans)	Α	KATSURA KAWAKAMI	06-11-1993		
		JP	9091215		OKITA et al.	04-04-1997		
		JP	10049591	Α	MUROI et al.	02-20-1998		
		wo	84/01382	A1	WEISHAUPT et al.	04-12-1984		
		WO (EP)	84/01382 (0 120 054)	A4,B1	WEISHAUPT et al.	10-03-1984		
		wo	93/16443	A1	HUMBLE	08-19-1993		
		wo	93/19427	A1	SINGER	09-30-1993		
	ļ	WO	94/23383	A1	LALONDE	10-13-1994		
		WO (EP)	95/12176 (0 728 339)	A2,A3, A4	KEITHLEY et al.	05-04-1995		
	<u> </u>	WO	95/13587	A1	OGATA	05-18-1995		ļ
		WO (EP)	95/16971 (0 734 556)	A1,A4	GIFFORD	06-22-1995		
		wo	96/30864	A1	SCHENA et al.	10-03-1996		ļ
		WO	96/31848	A2,A3	BURDON et al.	09-10-1996		L
		wo	96/36926	A1	MCDONALD et al.	11-21-1996		l

	<del></del>		· · · · · · · · · · · · · · · · · · ·
Examiner		Date	
Signature		Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

10/082,069 **Application Number** Filing Date 26 February 2002 MERRIMAN, Dwight A First Named Inventor 3622 Group Art Unit **Examiner Name** Champagne, Donald Attorney Docket Number 11032-3040

(use as many sheets as necessary) 18 of Sheet

96/38796 MOHR 12-05-1996 wo WO 96/38796 MOHR 03-18-1998

(EP)	(0 829 058)	J .			
wo	96/39668	A1	TOADER	12-12-1996	
WO (EP)	97/07656 (0 886 825)	A2,A3	BARKAT et al.	03-06-1997	
 WO	97/21183	A1	NAQVI et al.	06-12-1997	
wo	97/41673	A2,A3	GERACE	11-06-1997	
wo	98/34189	A1	SALSIBURY et al.	09-06-1998	
wo	98/57275	A2,A3	KAVAK	12-17-1998	
 wo	98/57285	A1	MESSER et al.	12-17-1998	
wo	01/65747	A1	ELDERING et al.	09-07-2001	

Examiner Signature	Date Considered	
Signature	 Contractor	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/P	то			Complete if Known
				Application Number	10/082,069
INF	ORMATIC	ON DISC	CLOSURE	Filing Date	26 February 2002
STA	TEMENT	BY AP	PLICANT	First Named Inventor	MERRIMAN, Dwight A.
-				Group Art Unit	3622
	(use as many s	heets as nec	essary)	Examiner Name	Champagne, Donald
Sheet	7	of	18	Attorney Docket Number	11032-3040

	,	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner nitials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
		17625888; Java and Blackbird: extending the Web; Seybold Reoport on Publishing Systems; October 23, 1995	
		17497026; Look who's surfing; Schurr; PC Week; October 30, 1995	
		17594025; HotWired and Neilsem team to verify usage data; Electronic Marketplace Report; July 4, 1995	
		17225819; Write once, publish many times; Ratcliffe; Digital Media; August 7, 1995	
		17189959; Product View seeks funding for 3Q launch of ad-supported; Electronic Marketplace Report; May 16, 1995	
		17244186; Free E-Mail with Postage Stamp "Ads"; Newsbytes; July 3, 1995	
		CWK19951009S0006; Search-engine advertising; Frook; Communications Week; November 9, 1995	
		www5conf.inria.fr; Ubiquitous Advertising on the WWW; Kohda et al; Fifth International WWW Conference; May 6-10, 1996	
		Bounty Quest home page article titled "DoubleClick Bounty Paid Ad Pioneer Turned Online Porn Mogul Wins \$10,000; ( <a href="http://www.bountyquest.com/">http://www.bountyquest.com/</a> ; printed on 3/9/01)	
		Bounty Quest Web page displaying article titled "Bounty Collected Double Click Banner Ad Patent; (http://www.bountyquest.com/bounties/displayBounty.php?bountyName=1019; printed on 3/9/01)	
		Bounty Quest Web page displaying article titled "Digital Porn Mogul Wins Silver Bullet Award; (http://www.bountyquest.com/winner/bschuster.htm; printed on 3/9/01)	
		Bounty Quest Web page displaying article titled "DoubleClick Prior Art; (http://www.bountyquest.com/patentinfo/doucleclickart.htm; printed on 3/9/01)	
		Business Plan posted on Bounty Quest Website titled "Summary of Proposed Business Activities for the World Wide Internet Network (WWIN); (http://www.bountyquest.com/images/doubleclickPage9.gif; printed on 3/9/01)	
		Sales Literature posted on Bounty Quest Website titled "What You Get with WWIN; (http://bountyquest.com/images/doubleclickGet.gif; printed on 3/9/01)	
		CNET Press Release titled "About CNET; (http://www.canada.cnet.com/aboutcnet/0-13613-7-808022.html; printed on 3/9/01)	

Examiner	 Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for	r form 1449A/PTO	)		Complete if Known		
				Application Number	10/082,069	
INFO	RMATION	N DISC	CLOSURE	Filing Date	26 February 2002	
STAT	EMENT	BY AP	PLICANT	First Named Inventor	MERRIMAN, Dwight A.	
				Group Art Unit	3622	
(use as many sheets as necessary)				Examiner Name	Champagne, Donald	
Sheet	8	of	18	Attorney Docket Number	11032-3040	

ADMedium Newsletter linked from Bounty Quest Website; (http://uts.cc.utexas.edu/tecas/nl9_2_96.html; printed on 4/4/01)	
Booker, Ellis "Seeing a Gap, A Palo Alto Startup Will Debut Advertising Server for the Net", Web Week, v.2 iss. 2. Available online at http://internetworld.com. Feb. 1996.	
Metcalf, Bob "From the Ether", InfoWorld, v.18 iss.3. Available at http://infoworld.com, Aug. 1996.	
NetGravity AdServer 2.0 Announcement. Available at http://netgravity.com, Oct. 1996.	
Kohda Yet al: Ubiquitous advertising on the WWW: Merging Advertising on the Browser, Computer Networks and ISDN Systems, vol. 28, No. 11, May 1996, pp. 1493-1499.	
Cashing in: The rush is on to buy and sell on the Internet, Interactive Media & Marketing, Adv. Age, p.11, 12/19/1994.	
Improved Internet security enabling, PC Week, v12, n11, p1(2), 3/20/1995.	
Internet access: Internet marketing revolution, EDGE Work-Group Computing Report, v7, n316, p2(1), 6/3/1996.	·
"MediaLink: Bell Laboratories unveils sophisticated information insertion technology for broadcast and cable advertising," EDGE, v11, p43(1), 16 December 1996.	
"Infoseek Offers Web Advertisers Customized Delivery of Ads," Newsbytes, pNEW05270111, 27 May 1997.	
Blankenhorn, Dana; "Sites experiment with advertising to offer free service," Advertising Age's Business Marketing, v82, p29, July 1997.	
Lockard, Meredith; "Advertising done your way," Target Marketing, v20, n10, p40, ISSN: 0889-5333, October 1997.	
"Ericsson Introduces New Intelligent Network-Based Internet Advertiser At Internet World '98," Business Wire, p0240, 07 October 1998.	
Chat Transcript; Topic: Ad Measurement and Management; Oct. 15, 1998; (http://www.zeff.com/learn/zdu/advertising/zinman.html; printed on 2/7/00)	
CNET Press Release titled "CNET Online Signs Up More Than a Quarter of a Million Members Through Voluntary Registration after Only Five Months"; (http://home.cnet.com/aboutcnet/0-13613-7-808021.html; printed on 11/26/99)	-
Hypertext Markup Language - 2.0; November 1995 (Draft HTML 2.0 Specification; September 22, 1995)	
Webster's Third New International Dictionary of the English Language Unabridged, 1967, page 2171 "some"	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO 10/082,069 Application Number INFORMATION DISCLOSURE 26 February 2002 Filing Date STATEMENT BY APPLICANT MERRIMAN, Dwight A. First Named Inventor Group Art Unit

**Examiner Name** 

(use as many sheets as necessary)

Champagne, Donald 11032-3040 18 Sheet Attorney Docket Number

Microsoft Press Computer Dictionary Third Edition, 1997, p. 387 "proxy server"
Bill Harvey, The Expanded ARF Model: Bridge to the Accountable Advertising Future, Journal of Advertising Research, March/April 1997, pp. 11-20
Cespedes & Smith, Database Marketing: New Rules for Policy and Practice, Sloan Management Review, Summer, 1993, pp. 7-22
Preston Gralla, How the Internet Works, August 1999, Millennium Edition, 202-204 and 243-247
Miller, B., "GroupLens: An Open Architecture for Collaborative Filtering," ftp://ftp.cs.umn.edu/users/bmiller/prop.ps, Univ. of Minn., pp. 1-18, Oct. 1995
Upendra Shardanand, "Social Information Filtering for Music Recommendation" Sep. 1994, pp. 1-93, Massachusetts Institute of Technology, Thesis.
"WWW.barnesandnoble.com" ("WWW.firefly.com"), web site pages, 12 pages, printed out on Jan. 21, 1998 (origination date unknown)
"WWW.amazon.com", web site pages, 15 pages, printed out on Jan. 21, 1998 (origination date unknown)
Microsoft Press Computer Dictionary Third Edition, 1997, pp. 271 ("junction"), 285 ("link"), 331 ("node")
51st IETF - Whois enhancement BOF (whoisfix), August 1, 2001
DOUBLECLICK MEASURES BEYOND THE CLICK WITH NEW "SPOTLIGHT" SOFTWARE, September 4, 1996, Doubleclick
DOUBLECLICK UNVEILS INTERNET AD TRACKING PRODUCT, September 4, 1996, Reuter Financial Report
DOUBLECLICK SHINES ITS SPOTLIGHT, September 5, 1996, Advertising Age – Interactive Daily
SPOTLIGHT ON DOUBLECLICK, September 13, 1996, Information & Interactive Services Report
TRACKING SERVICES TALLIES AD RESPONSE, ONLINE ORDERS, September 16, 1996, DMNews
LURING IN CUSTOMERS VIA WEB ADVERTISING, October 1996, Business Strategies
Business Wire (New York, Nov 17, 1998). "i33 Communications Links Personal Finance Sites With Dynamic Investment Research Banners; Quote.com and Multex Partner Using i33's AdMaximize Technology"

Examiner	Date	
Signature	Considered	 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	te for form 1449A/P	то			Complete if Known
				Application Number	10/082,069
INF	FORMATIC	ON DISC	CLOSURE	Filing Date	26 February 2002
ST	ATEMENT	BY AF	PLICANT	First Named Inventor	MERRIMAN, Dwight A.
-				Group Art Unit	3622
	(use as many si	heets as ned	cessary)	Examiner Name	Champagne, Donald
Sheet	10	of	18	Attorney Docket Number	11032-3040

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DAVID E. ZINMAN (founder of FocaLink), Deposition Transcript from <i>DoubleClick, Inc.</i> v. L90, Inc., 00 Civ. 2690, S.D.N.Y.	
		RONALD A. KOVAS (CEO of FocaLink), Deposition Transcript from DoubleClick, Inc. v. L90, Inc., 00 Civ. 2690, S.D.N.Y.	
	-	LinkMarket Business Plan; David Zinman et al.; SD005545-SD005569	
		LinkMarket; David Zinman et al.; SD005573-SD005574	
		"ONLINE MARKETING:"; Internet Week, Vol. 1, Issue 36; December 18, 1995; SD005584- SD005585	
		David Zinman; http://www.focalink.com/dzinman/bio.html; SD005586	
		"Seeing A Gap…"; Ellis Booker; http://www.internetworld.com/print/1996/02/01/industry/ad- server.html; February, 1996; SD005587	
		"readme. 1st;"; David Evans; Marketing Computers, No. 2, Vol. 16, Pg. 12; February 1996; SD005588-SD005589	
		"Focalink Will Monitor"; Julia Angwin; San Francisco Chronicle; February 7, 1996; SD005590	
		"MEDIA DAILY SPECIAL:"; Sean Butterbaugh; Media Daily, No. 5, Vol. 4; February 7, 1996; SD005591-SD005592	
		"INTERNET ACCESS: MAJOR COMPANIES"; Edge: Work-Group Computing Report; February 12, 1996; SD005593-SD005594	
		"INTERNET ACCESS: FIRST ADVERTISING"; Edge: Work-Group Computing Report; February 12, 1996; SD005595-SD005596	
		"INTERNET ACCESS: MAJOR COMPANIES"; Edge: Work-Group Computing Report; February 12, 1996; SD005597-SD005598	
		"ANOTHER MEDIA-BUYING FIRM"; Media Daily, No. 5, Vol. 4; February 14, 1996; SD005599- SD005601	
		"Working the Web;"; Jennifer Reese; Executive Female, No. 2, Vol. 19, Pg. 24; March 13, 1996; SD005602-SD005604	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PT	О			Complete if Known
				Application Number	10/082,069
INF	ORMATIO	N DIS	CLOSURE	Filing Date	26 February 2002
STA	TEMENT	BY A	PPLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
(	(use as many sh	neets as ne	ecessary)	Examiner Name	Champagne, Donald
Sheet	11	Of	18	Attorney Docket Number	11032-3040

"Web ad-management software"; John Evan Frook; InternetWeek; March 18, 1996; SD005605- SD005607
"Unraveling a tangled Web;"; Ken Siegmann; PC Week, No. 15, Vol. 13, Pg. A4; April 15, 1996; SD005608-SD005610
"Web Site Links:"; Electronic Marketplace Report, No. 16, Vol. 9; August 22, 1995; SD005611- SD005613
"INTERACTIVE MEDIA & MARKETING"; Advertising Age; December 11, 1995; SD005614- SD005615
"Major Companies, Ad Agencies"; Business Wire; February 5, 1996; SD005616-SD005617
"First Advertising Server Launched"; Business Wire; February 5, 1996; SD005618-SD005619
"INTERACTIVE; FOCALINK AND DOUBLECLICK"; Kim Cleland; Advertising Age, Pg. 30; February 5, 1996; SD005620-SD005621
"First Advertising Server Launched"; Business Wire; February 5, 1996; SD005622-SD005623
"Major Companies, Ad Agencies"; Business Wire; February 5, 1996; SD005624-SD005625
Web Personals Report; October 12, 1995; Z00001-Z00009 / SD027072-SD027080
FocaLink Technology; August 30, 1995; Z00010-Z00011 / SD027081-SD027082
Web Personals stats; August 22, 1995; Z00012-Z00013 / SD027083-SD027084
For Immediate Release; Hyperlink Advertising Explodes on the World Wide Web; http://link.w3.com; Z00014 / SD027085
Interactive Age; The Newspaper for Electronic Commerce; Z00015-Z00019 / SD027086-SD027090
Link Marketing, LLP; Z00020-Z00021 / SD027091-SD027092
Link Marketing; Z00022-Z00027 / SD027093-SD027098
LinkMarket; David Zinman et al.; Z00028-Z00030 / SD027099-SD027101

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute f	or form 1449A/P	то			Complete if Known
				Application Number	10/082,069
INFO	DRMATIC	ON DISC	CLOSURE	Filing Date	26 February 2002
STA	TEMENT	BY AP	PLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
(	use as many s	heets as nec	essary)	Examiner Name	Champagne, Donald
Sheet	12	of	18	Attorney Docket Number	11032-3040

LinkMarket; David Zinman et al.; Z00031-Z00032 / SD027102-SD027103
LinkMarket; David Zinman et al.; Z00033-Z00056 / SD027104-SD027127
FocalLink Media Services, Inc.; David Zinman et al.; August 2, 1995; Z00057-Z00070 / SD027128-SD027141
Saturn Banner Ad Placement; August 25, 1995; Z00071 / SD027142
SPONSORABLE SITE – RIDDLE DU JOUR; August 26, 1995; Z00072-Z00074 / SD027143- SD027145
Saturn Banner Ad Placement; August 27, 1995; Z00075 / SD027146
FocaLink Technology; August 30, 1995; Z00076-Z00077 / SD027147-SD027148
Question for you; September 7, 1995; Z00078-Z00079 / SD027149-SD027150
Information Request; September 12, 1995; Z00080 / SD027151
Update and Miscellaneous; September 22, 1995; Z00081-Z00083 / SD027152-SD027154
FocalLink: request for ISN ad traffic data (fwd); September 26, 1995; Z00084-Z00085 / SD027155-SD027156
Saturn Ad Placements; September 28, 1995; David Zinman; Z00086 / SD027157
RE> Saturn Ad Placements; September 29, 1995; Adam@utne.co; Z00087 / SD027158
RE: Advertising Update; October 3, 1995; Eric Ver Ploeg; Z00088-Z00089 / SD027159-SD027160
RE>>Saturn Ad Placements; September 29, 1995; wigley@utne.com; Z00090 / SD027161
Re: Test Parameters (fwd); October 23, 1995; Jason Strober; Z00091-Z00092 / SD027162- SD027163
Re: Rest Parameters; October 23, 1995; Jason Strober; Z00093-Z00094 / SD027164-SD027165

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or form 1449A/PT	то			Complete if Known
				Application Number	10/082,069
INFO	PRMATIO	N DISC	CLOSURE	Filing Date	26 February 2002
STATEMENT BY APPLICANT				First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
(	use as many sh	neets as nec	essary)	Examiner Name	Champagne, Donald
Sheet	13	of	18	Attorney Docket Number	11032-3040

Proposal (fwd); October 23, 1995; Jason Strober; Z00095-Z00096 / SD027166-SD027167
Advertising advisory board; October 25, 1995; David Zinman; Z00097-Z00099 / SD027168-SD027170
Contact at Netcom; October 26, 1995; David Zinman; Z00100 / SD027171
CMP Technical Contact; October 30, 1995; Jason Strober; Z00104 / SD027172
from ad age today; October 30, 1995; Karen Johnson; Z00105-Z00106 / SD027173-SD027174
We need to get on this; October 31, 1995; David Zinman; Z00107 / SD027175
Web site pricing draft; November 7, 1995; Jason Strober; Z00108 / SD027176
Heads up(fwd); November 8, 1995; David Zinman; Z00109-Z00110 / SD027177-SD027178
Proposal to Intel; November 13, 1995; Jason Strober; Z00111-Z00114 / SD027179-SD027182
FocaLink white paper; November 13, 1995; Jason Strober; Z00115-Z00116 / SD027183-SD027184
FocaLink Media Services; November 14, 1995; David Zinman; Z00117-Z00119 / SD027185- SD027187
I/Traffic & Dave Carlick; November 14, 1995; David Zinman; Z00120 / SD027188
FocaLink white paper; November 15, 1995; David Zinman; Z00121-Z00123 / SD027189-SD027191
Re: FocaLink Meeting (fwd); Nov. 20, 1995; Karen Johnson; Z00124-Z00125 / SD027192- SD027193
Microsoft ads through FocaLink; November 21, 1995; Jason Strober; Z00126 / SD027194
Re: Who's in and who's out (fwd); Nov. 21, 1995; Jason Strober; Z00127-Z00128 / SD027195- SD027196
Intel update (fwd); Nov. 23, 1995; Jason Strober; Z00129-Z00130 / SD027197-SD027198

Examiner	Date	
LAGITITIO		,
Signature	Considered	
Usignature	Considered	1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute f	for form 1449A/PT	го			Complete if Known
				Application Number	10/082,069
INFO	DRMATIO	N DISC	LOSURE	Filing Date	26 February 2002
STA	STATEMENT BY APPLICANT			First Named Inventor	MERRIMAN, Dwight A.
_				Group Art Unit	3622
(use as many sheets as necessary)			essary)	Examiner Name	Champagne, Donald
Sheet	14	of	18	Attorney Docket Number	11032-3040

Saturn reports; Dec. 5, 1995; Jennifer Ratner; Z00134-Z00140 / SD027199-SD027205
November Report; Dec. 5, 1995; Jennifer Ratner; Z00141-Z00146 / SD027206-SD027211
FocaLink Media Services; Dec. 6, 1995; David Zinman; Z00147 / SD027212
FocaLink Media Services; Dec. 6, 1995; David Zinman; Z00148 / SD027213
FocaLink Media Services; December 6, 1995; David Zinman; Z00149 / SD027214
FocaLink for the Layman; December 6, 1995; David Zinman; Z00150 / SD027215
Microtargeting; December 7, 1995; David Zinman; Z00151 / SD027216
Info on FocaLink Media Services; December 11, 1995; David Zinman; Z00152-Z00154 / SD027217-SD027219
FocaLink Media Services; December 11, 1995; David Zinman; Z00155-Z00156 / SD027220-SD027221
Catch-up time; December 11, 1995; David Zinman; Z00157 / SD027222
Info on FocaLink; December 13, 1995; David Zinman; Z00158-Z00160 / SD027223-SD027225
Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00161 / SD027226
Re: Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00162 / SD027227
FocaLink Beta Test on SmartBanner; Dec. 14, 1995; David Zinman; Z00163 / SD027228
I/Pro Mtg Today; December 19, 1995; David Zinman; Z00164 / SD027229
Eric Smith Quote (fwd); January 10, 1996; David Zinman; Z00165 / SD027230
FocaLink Communications, Inc.; January 10, 1996; David Zinman; Z00166 / SD027231

	_	
ı	Examiner	Date
	Signature	Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute	for form 1449A/PTC	)		Complete if Known			
				Application Number	10/082,069		
INF	ORMATION	N DI	SCLOSURE	Filing Date	26 February 2002		
STA	STATEMENT BY APPLICANT			First Named Inventor	MERRIMAN, Dwight A.		
				Group Art Unit	3622		
	(use as many she	ets as	necessary)	Examiner Name	Champagne, Donald		
Sheet	15	of	18	Attorney Docket Number	11032-3040		

	Re: Advertisers for wyp.net; January 12, 1996; David Zinman; Z00167 / SD027232
	Quote for Press Release; January 15, 1996; David Zinman; Z00168 / SD027233
	Re: Quote for Press Release; January 16, 1996; "thomas mark"; Z00169 / SD027234
	Re: Quote for Press Release (fwd); January 16, 1996; David Zinman; Z00170-Z00171 / SD027235- SD027236
	RE> **Ad Placement Notific; January 19, 1996; Dan_Stoller@anlsf.com; Z00172-Z00173 / SD027237-SD027238
	Invitation; January 25, 1996; wilkins@eit.com; Z00174 / SD027239
	Focalink; January 25, 1996; David Zinman; Z00175 / SD027240
	SmartBanner Reports; January 25, 1996; David Zinman; Z00176 / SD027241
	RE: Focalink; January 26, 1996; Rick Vorhaus; Z00177 / SD027242
	Comments; January 29, 1996; David Zinman; Z00178-Z00182 / SD027243-SD027247
	Poppe Tyson/Internet Advertising Network Alliance; February 26, 1996; Ron Kovas; Z00183 / SD27248
	fyi; February 5, 1996; "Roger Follis"; Z00184-Z00185 / SD027249-SD027250
	Focalink Communications; February 5, 1996; David Zinman; Z00186-Z00188 / SD027251- SD027253
	Microsoft Update; February 5, 1996; Margaret Bond; Z00189 / SD027254
	Focalink Communications; February 6, 1996; David Zinman; Z00190-Z00192 / SD027255-SD027257
	Focalink Communications; February 6, 1996; David Zinman; Z00193-Z00195 / SD027258-SD027260
	Focalink Communications; February 6, 1996; David Zinman; Z00196-Z00198 / SD027261- SD027263
·	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/PTO		<u>_</u>	Complete if Known		
				Application Number	10/082,069	
INF	ORMATION	1 DI	SCLOSURE	Filing Date	26 February 2002	
STATEMENT BY APPLICANT				First Named Inventor	MERRIMAN, Dwight A.	
_				Group Art Unit	3622	
	(use as many she	ets as	necessary)	Examiner Name	Champagne, Donald	
Sheet	16	of	18	Attorney Docket Number	11032-3040	

	[Fwd: Re: DoubleClick and IAN]; February 6, 1996; Jason Strober; Z00199 / SD027264
	Focalink Communications; February 6, 1996; David Zinman; Z00200-Z00202 / SD027265- SD027267
	Test With Focalink; February 7, 1996; David Zinman; Z00203 / SD027268
	Urgent – Microsoft Ad Placement; February 7, 1996; David Zinman; Z00204 / SD027269
	Test for Microsoft Banner; February 8, 1996; Joel Bassuk; Z00205 / SD027270
	ZDNet Test; Margaret Bond; February 8, 1996; Z00206 / SD027271
	URLs; February 8, 1996; Dan Stoller; Z00207-Z00208 / SD027272-SD027273
	HeadsUp 02-09-96 (20 stories) <cd58m010>; February 9, 1996; An Information Service of Individual Inc.; Z00209-Z00212 / SD027274-SD027277</cd58m010>
	Focalink Communications; February 13, 1996; David Zinman; Z00213 / SD027278
	Targeting; February 13, 1996; Michael Wang; Z00214 / SD027279
	Re: Cookies!; February 15, 1996; David Zinman; Z00215 / SD027280
	Focalink; February 22, 1996; Jason Strober; Z00216 / SD027281
	Focalink/Microsoft I.E.; February 23, 1996; Margee Bond; Z00217-Z00218 / SD027282-SD027283
	Cookies; March 6, 1996; David Zinman; Z00219 / SD027284
	Did I send this 2x; April 3, 1996; Z00220-Z00222 / SD027285-SD027287
	Answer to your request; April 8, 1996; Z00223 / SD027288
	Site Letter; April 10, 1996; Z00224-Z00225 / SD027289-SD027290
· · · · · · · · · · · · · · · · · · ·	

	 	· · · · · · · · · · · · · · · · · · ·	
Examiner	Date		
Signature	Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for form 1449A/PTO					Complete if Known
				Application Number	10/082,069
INFO	RMATION	i disc	CLOSURE	Filing Date	26 February 2002
STATEMENT BY APPLICANT				First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
(use as many sheets as necessary)				Examiner Name	Champagne, Donald
Sheet	17	of	18	Attorney Docket Number	11032-3040

Oracle and Intel; April 12, 1996; Z00226 / SD027291	
Doubleclick; April 16, 1996; Z00227 / SD027292	
Open Market Licenses With NetGravity; May 6, 1996; Z00228 / SD027293	
Fact check; May 12, 1996; Z00229 / SD027294	
IDG; May 17, 1996; Z00230-Z00231 / SD027295-SD027296	
Daily Spectrum; May 20, 1996; Z00232-Z00242 / SD027297-SD027307	
Fact check; May 20, 1996; Z00243 / SD027308	
Cookie FAQ; May 21, 1996; Z00244 / SD027309	
Focalink Communications; May 21, 1996; Z00245-Z00246 / SD027310-SD027311	
Network World Fusion; May 22, 1996; Z00247 / SD027312	
Looming issue; July 13, 1996; Z00248-Z00249 / SD027313-SD027314	
Cookies & privacy ? / Gernan Press; July 15, 1996; Z00250 / SD027315	
Cookies & privacy ? / German Press; July 16, 1996; Z00251 / SD027316	
DoubleClick; September 5, 1996; Z00252-Z00253 / SD027317-SD027318	
Infoseek Business Terms; September 7, 1996; Z00254-Z00256 / SD027319-SD027321	
SmartBanner ads on HotWired; September 11, 1996; Z00257 / SD027322	
Statement of Work; September 23, 1996; Z00258-Z00259 / SD027323-SD027324	

1	Examiner	Date	}
	Signature	Considered	J

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/PT	0		Complete if Known	
				Application Number	10/082,069
INF	ORMATIO	N DIS	CLOSURE	Filing Date	26 February 2002
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	MERRIMAN, Dwight A.
				Group Art Unit	3622
(use as many sheets as necessary)				Examiner Name	Champagne, Donald
Sheet	18	of	18	Attorney Docket Number	11032-3040

	NetGravity Releases Adserver 2.0; October 21, 1996; Z00260-Z00263 / SD027325-SD027328		
	"FOCALINK"; DC004278A		
	"FocaLink Services"; FocaLink Media Services, Inc.; DC004278B-DC004278C		
	"Welcome to Focalink!"; FOCALINK COMMUNICATIONS; DC004278D-DC004278CC		
	"Welcome to Focalink!"; FOCALINK COMMUNICATIONS; DC004278DD-DC004278XX		
" <u>Link Marketing</u> "; handwritten page; MA0001			
	"Link Marketing"; LinkMarket; MA0002-MA0007		
	"LinkMarket"; David Zinman et al.; MA0008-MA0027		
	"Link Marketing, LLP"; MA0028-MA0029		
	"Link Marketing LLP"; MA0030-MA0038		
	"Escapes"; Web page; MA0039-MA0040		
	"Industry Overview"; FocaLink Media Services, Inc.; MA0041-MA0053		
	"Executive Summary"; Focalink Communications Confidential"; printed 7/30/97; MA0054-MA0109		
	"FOCALINK COMMUNICATIONS"; February 1996; MA0110-MA0149		

Examiner	/Donald Champagne/ (03/03/2008)	Date	
Signature	. 5	Considered	·

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.